



# Annual Bank Security Seminar

NORTH DAKOTA  
**BANKERS**  
ASSOCIATION

November 1, 2018 – Radisson Hotel, Bismarck ND

## Program Highlights

### *What am I Responsible For?*

Learn how to implement regulations for the Bank Protection Act, specifically Regulation H, to demonstrate the framework of your security program. The implementing regulations are very specific while allowing leeway for risk management decision-making. Know exactly what you should be doing – don't rely on what others think you should be performing.

### *The Dangers of Social Engineering*

Protect your bank. Social Engineering is the method used by penetration companies and criminals to compromise the bank. Learn the modus operandi used to attack account holders, the bank or the call center into providing sensitive information. Review problems with locating your terminals or PCs where a non-staff member can gain access without anyone knowing. Even a small institution is not safe from these techniques. Discover how to instruct staff on how not to fall victim to a social engineering attack, what a deceptive answer really sounds like and how to handle these attacks on your front line or call center.



### *15 Errors to Avoid When Conducting Internal Investigations*

Internal fraud can cost banks unrecoverable money and time. When the embezzler hasn't been identified, emotions can run high! Investigations launched in panic with the wrong leaders may cause unexpected complications.

This eye-opening presentation will explain what not to do. Staff who have not been trained on internal embezzlement may cause flawed investigations, harassment charges and unsolved losses. Learn about 15 errors that can result in a failed investigation. Awareness of these pitfalls will enable you to establish an action plan that will protect you when the unthinkable happens.

### *Board Reporting: The Security Perspective*

Regulations specify that the Security Officer must report to the board annually and that the implementation, administration, and effectiveness of the security program be addressed. What exactly the Security Officer should report to the board is not clearly identified. Learn best practices relating to training, inspections and foreseeable events that should be reported.

## Location

### Radisson Hotel

605 E Broadway Ave  
Bismarck ND 58501

Phone: 701.255.6000

## Schedule

8:30 a.m.	Registration & Continental Breakfast
9:00 a.m.	<i>What am I Responsible For?</i>
10:15 a.m.	Break
10:30 a.m.	<i>The Dangers of Social Engineering</i>
12:00 p.m.	Lunch
1:00 p.m.	<i>15 Errors to Avoid: Conducting Internal Investigations</i>
2:15 p.m.	Break
2:30 p.m.	<i>Board Reporting: The Security Perspective</i>
4:00 p.m.	Adjourn

## NDBA Registration Form

## Annual Bank Security Seminar • November 1, 2018

### Registration Information

Register early and save...

	Advance	After 10/10
NDBA Member	\$245	\$265
Nonmember	\$375	\$395

Mail this form with payment to:

### North Dakota Bankers Association

Attn: Registration  
PO Box 1438  
Bismarck ND 58502

or email to [ndba@ndba.com](mailto:ndba@ndba.com)  
or register online at [www.ndba.com](http://www.ndba.com)

Questions? Call NDBA's SVP of Education  
Dorothy Lick at 701.223.5303.

Bank: \_\_\_\_\_

Address: \_\_\_\_\_

City: \_\_\_\_\_ State: \_\_\_\_\_ Zip: \_\_\_\_\_

Phone: \_\_\_\_\_

### Registrants (first & last name)

**Registrant 1.** \_\_\_\_\_

Reg. 1 Email: \_\_\_\_\_

Reg. 1 Branch location: \_\_\_\_\_

**Registrant 2.** \_\_\_\_\_

Reg. 2 Email: \_\_\_\_\_

Reg. 2 Branch location: \_\_\_\_\_

**Registrant 3.** \_\_\_\_\_

Reg. 3 Email: \_\_\_\_\_

Reg. 3 Branch location: \_\_\_\_\_

**Total Due:**

- Please send an invoice.
- Check is enclosed.
- Contact me for credit card information.

# Annual Bank Security Seminar

November 1, 2018 – Radisson Hotel, Bismarck ND

## About the Speaker



**Barry Thompson** is a nationally-recognized speaker, consultant, and former banker, with 38 years of service to the financial institution industry. He is a contributor to Bankers Hotline and bankersonline.com as well as other financial publications. Barry also works as a banking “guru” for bankersonline.com, answering questions submitted by readers for the site. He has been interviewed by Newsweek, Computer World and other national and regional publications. He has made presentations in Europe on internal fraud, Asia on bank security and at the United Nations on identity theft.

Barry’s banking career spanned 22 years before he “retired” to establish his consulting firm. He has investigated embezzlement cases, identity fraud cases, check kites and almost all possible criminal incidents against financial institutions. During his 22 year career as a security officer, he handled over 900 security cases and has been involved with investigations and prosecutions on the federal, state, and local levels. He has testified before grand juries, county courts, bankruptcy courts, family courts and the New York State Supreme Court.

Barry’s banking career spanned 22 years before he “retired” to establish his consulting firm. He has investigated embezzlement cases, identity fraud cases, check kites and almost all possible criminal incidents against financial institutions. During his 22 year career as a security officer, he handled over 900 security cases and has been involved with investigations and prosecutions on the federal, state, and local levels. He has testified before grand juries, county courts, bankruptcy courts, family courts and the New York State Supreme Court.

As a professional speaker, few can match Barry’s expertise when it comes to discussing the financial services industry. Barry attended prestigious schools held by the American Bankers Association and the former America’s Community Bankers. This, along with his “Certified Regulatory Compliance Manager” designation, provides him with a background that very few in the financial services industry can match.

## Who Should Attend

Security officers or directors, operations managers, auditors, HR directors, legal staff, loan officers, disaster recovery managers, collection staff and fraud investigators.

## Schedule

8:30-9:00 a.m.	Registration & Continental Breakfast
9:00 a.m.-Noon	<b>Program</b>
12:00-1:00 p.m.	Lunch
1:00-4:00 p.m.	<b>Program</b>
4:00 p.m.	Adjourn

## Registration Fees

	<u>Advance</u>	<u>After 10/10</u>
NDBA Member	\$245	\$265
Nonmember	\$375	\$395

## Location

### Radisson Hotel

605 E Broadway Ave  
Bismarck ND 58501  
Phone: 701.255.6000

Group rate: \$104

Deadline to reserve: September 29

Book online at: <http://bit.ly/2JLHczC>

or call the hotel at 701.255.6000 and mention NDBA

## Tax Disclaimer

The cost for all meals and breaks at this seminar is \$32. This information is provided for your tax records, in keeping with the IRS 50% deductible provision under Section 274(n) of the Internal Revenue Code.

## Refund Policy

Refund less \$25 will be made if requested one week prior to seminar date. No refunds after that date. Substitutions allowed at any time for this event.

## Seminar Confirmation

Please save a copy of this flyer as your confirmation.



NORTH DAKOTA  
**BANKERS**  
ASSOCIATION

Questions? Call NDBA at 701.223.5303.